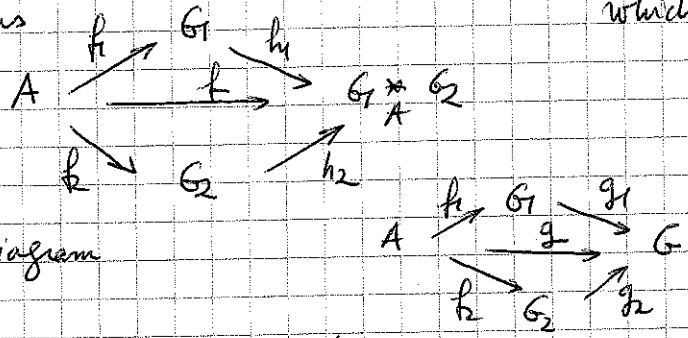


# Lecture 1.

## I Group constructions: Amalgamated products and HNN extensions

IA. Consider  $G_1, G_2$  two (~~finite groups~~) groups and  $A \xrightarrow{f_1} G_1$  morphisms

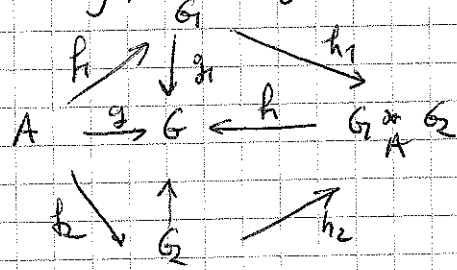
Theorem 1. There exists an unique group denoted  $G_1 *_A G_2$  endowed with homomorphisms which is universal i.e. for any



Commutative diagram

then exists a unique

$h: G_1 *_A G_2 \rightarrow G$  making the diagram



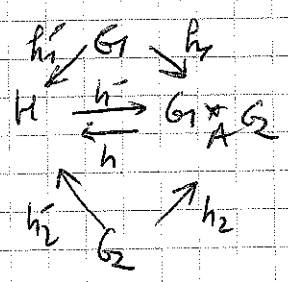
Commutative. We call  $G_1 *_A G_2$  amalgamated product of  $G_1, G_2$  over  $A$ .

Proof Consider presentations  $G_1 = \langle x_i \mid r_j \rangle, G_2 = \langle y_i \mid s_j \rangle$  and  $\{a_i\}$  system of generators for  $A$ . Let us set

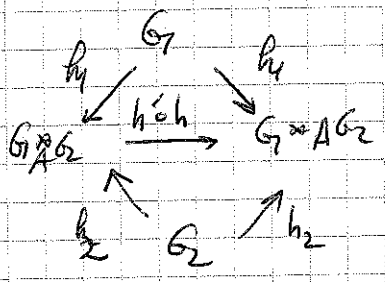
$$G_1 *_A G_2 := \langle x_i, y_j \mid r_j, s_k, f_1(a_m) f_2(a_m)^{-1}, \text{ all } i, j, k, m \rangle$$

It is immediate that  $G_1 *_A G_2$  satisfies the universality property above.

The uniqueness of the universal object  $G_1 *_A G_2$  follows: if  $H$  is any other universal group as above then we have maps  $G_1 *_A G_2 \xrightarrow{h} H \xleftarrow{h^{-1}}$



and thus



uniqueness of

the map  $h \circ h^{-1}$  implies  $h \circ h^{-1} = id$  and similar  $h^{-1} \circ h = id$ .  $\square$

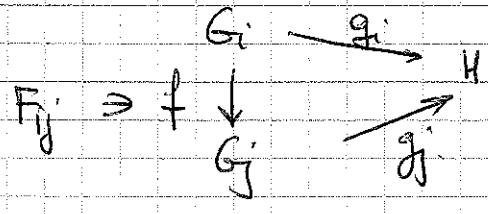
Exercise 1)  $\mathbb{Z} *_A \mathbb{Z} = \mathbb{F}(2)$ ; if  $A = \{1\}$  call it free product

2)  $\mathbb{Z}/p\mathbb{Z} *_A \mathbb{Z}/q\mathbb{Z} = \{1\}$  if  $\gcd(p, q) = 1$ .

IB Generalization. Graph of groups and inductive limits.

Construction 1: Given  $\{G_i\}_{i \in I}$  family of groups and for each  $(i, j) \in I \times I$  a family  $F_{ij}$  of homomorphisms  $f: G_i \rightarrow G_j$  ( $F_{ij}$  might be empty).

Define  $H = \varinjlim G_i$  to be a group  $H$  endowed with morphisms  $g_i: G_i \rightarrow H$  such that  $\forall f \in F_{ij}$  the diagram below commutes:



with the universal property i.e. for any  $G$  group,  $g_i: G_i \rightarrow G$  morphisms such that  $\begin{array}{ccc} G_i & \xrightarrow{g_i} & G \\ F_{ij} \ni f \downarrow & & \nearrow g_j \\ G_j & & \end{array}$  commutes then exists a unique

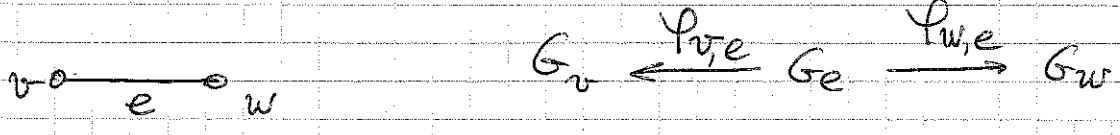
homomorphism  $H \rightarrow G$  making  $\begin{array}{ccc} G_i & \xrightarrow{g_i} & G \\ g_i \downarrow & & \downarrow h_i \\ G & \xleftarrow{h} & H \end{array}$  commutative  $h_i$ .

**Theorem 2:**  $\varinjlim G_i$  exists and it is unique.

Proof: as above in Th. 1.  $\square$

**Example:** Taking  $\{A \xrightarrow{f_i} G_i\}$ , one obtains  $\ast_A G_i$  the iterated amalgamated product of  $G_i$  over  $A$ . This operation is associative.

Construction 2. A graph of groups (over the graph  $\Gamma$ ) is the assignment of a group  $G_v$  for any vertex  $v$  of  $\Gamma$  and a group  $G_e$  for any edge  $e$  of  $\Gamma$  together with homomorphisms (in general injective)



for any edge  $e$  with endpoints  $v, w$ . Let  $E(\Gamma), V(\Gamma)$  the edges, vertices. The fundamental group  $\mathcal{G}$  of the graph of groups is the group satisfying the universal property for Construction 1. However here is a direct definition; take  $T \subset \Gamma$  be a spanning tree and choose a generator  $y_e$  for each oriented edge  $e \in E(\Gamma) - T$ , ~~along with an~~

$$\mathcal{G} = \langle G_x, x \in V(\Gamma), y_e, e \in E(\Gamma) - T \mid y_e \varphi_{v,e}(x) y_e^{-1} = \varphi_{w,e}(x) \forall e \in E(\Gamma) \text{ with opposite orient} \rangle$$

with  $y_e = 1$  if  $e \in T$

Example 1)  $\Gamma = \begin{array}{ccc} G_1 & & G_2 \\ & \xrightarrow{A} & \\ & & \end{array}$  get  $G = G_1 *_A G_2$

2)  $\Gamma = G \begin{array}{c} \circlearrowleft \\ \circlearrowright \end{array} A$  correspond to having two maps

$f: A \rightarrow G, g: A \rightarrow G$ . Then  $G = \langle G; \gamma \mid \gamma f_1(a) \gamma^{-1} = f_2(a) \rangle$   
 $\forall a \in A$

it is called the HNN extension.

Remark: If  $\varphi, \psi$  are all isomorphisms then  $G_\varphi, G_\psi \hookrightarrow G$

Moreover the cosets  $G/G_\varphi, G/G_\psi$  are the vertices and the edges sets of a graph  $\mathcal{Y}$  (called the universal covering tree) such that  $G$  acts on  $\mathcal{Y}$  with  $\mathcal{Y}/G \cong \Gamma$ . The stabilizers of edges and vertices are the groups  $G_\psi, G_\varphi$ .

IC Interpretation topologique: Van Kampen Theorem: path-  
 Let  $X$  be a topological space and  $U_1, U_2 \subset X$  be open path-connected subspaces for which  $U_1 \cap U_2$  is open path-connected,  $x \in U_1 \cap U_2$ .  
 Then

$$\pi_1(U_1 \cup U_2, x) \cong \pi_1(U_1, x) *_{\pi_1(U_1 \cap U_2, x)} \pi_1(U_2, x)$$

where  $f_i: \pi_1(U_1 \cap U_2, x) \rightarrow \pi_1(U_i, x)$  is the map induced in homotopy by the inclusion  $U_1 \cap U_2 \hookrightarrow U_i$ .

Rk: This is still valid if  $U_i, U_1 \cap U_2$  are closed path-connected subspaces.

Consider now  $X$  a topological space and  $A, B \subset X$  two closed subspaces with a homeomorphism  $\theta: A \xrightarrow{\sim} B$ . Assume that the inclusion maps  $\pi_1(A) \xrightarrow{h} \pi_1(X), \pi_1(B) \xrightarrow{h'} \pi_1(X)$  are injective.

Let then  $Y$  be the space

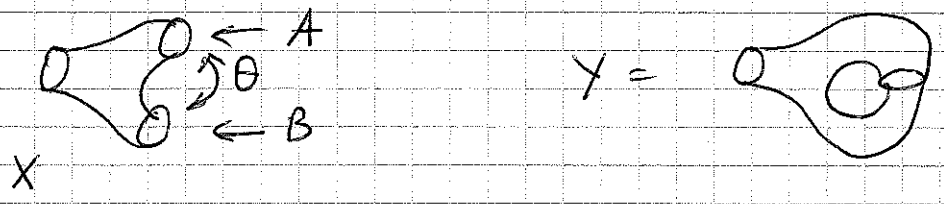
$$Y = X / \{a \sim \theta(a) \text{ for } a \in A\}$$

Then

$$\pi_1(Y) \cong \langle \pi_1(X), t \mid t a t^{-1} = \theta(a), \forall a \in \pi_1(A) \rangle$$

where  $\theta: h(\pi_1 A) \rightarrow h(\pi_1 B)$  ~~is the~~ is the map induced by  $\theta$ .  
 This is a HNN extension.

**Example**



**ID**

Vander Waerden structure theorem.

Let  $A \rightarrow G_1$  be injective morphisms. Let  $S_i$  set of cosets  $G_i/A$  s.t.  $1 \in S_i$ . Consider  $(j_1, \dots, j_n)$ ,  $n \geq 0$ ,  $j_k \in \{1, 2\}$  with  $j_k \neq j_{k+1}$ ,  $\forall k$  i.e. alternate sequence. Let  $A \xrightarrow{f} G_1 \times_A G_2$ ,  $G_i \xrightarrow{f_i} G_1 \times_A G_2$ .

**Definition**

The word  $a s_1 s_2 \dots s_n$  with  $a \in A$ ,  $s_j \in S_{j_j}$  and  $s_j \neq 1$  is called a reduced word. The sequence  $(j_1, \dots, j_n)$  is part of the data.

**Theorem 3**

Let  $g \in G_1 \times_A G_2$ ,  $g \neq 1$ . Then there exists a unique reduced word  $a s_1 \dots s_n$  such that

$$(*) \quad g = f(a) f_{j_1}(s_1) f_{j_2}(s_2) \dots f_{j_n}(s_n)$$

Proof

1)  $G_1 \cup G_2$  generates  $G_1 \times_A G_2$  and thus  $g = f_{j_1}(g_1) \dots f_{j_n}(g_n)$ , with  $f_i \in G_i$ . We write then  $g_n = a_n s_n$ ,  $a_n \in A$ ,  $s_n \in S_{j_n}$ . Then

$$g = \dots f_{j_n}(a_n s_n) = \dots f_{j_{n-1}}(g_{n-1}) f_{j_n}(a_n) f_{j_n}(s_n) = \dots f_{j_{n-1}}(g_{n-1} a_n) f_{j_n}(s_n)$$

Now  $g_{n-1} a_n \in G_{j_{n-1}}$  and it can be written as  $g_{n-1} a_n = a_{n-1} s_{n-1}$  with  $a_{n-1} \in A$ ,  $s_{n-1} \in S_{j_{n-1}}$ . We continue in this way to push the  $a_j$  towards the beginning of the word and get  $(*)$ .

2) Let us prove the uniqueness of the reduced word. Let  $\mathcal{X}$  be the set of all reduced words. We define an action (to the left):

$$G_1 \times_A G_2 \times \mathcal{X} \rightarrow \mathcal{X}$$

by using the universality of  $G_1 \times_A G_2$ ; thus we will define actions of  $G_1$  and  $G_2$  such that their restriction to the images of  $A$  coincide. Specifically consider  $\mathcal{X} = D_1^{(i)} \cup D_2^{(i)}$  where

$$D_1^{(i)} = \{ a s_1 s_2 \dots s_n, s_1 \in S_{j_1} - \{i\} \text{ and } j_1 \neq i \}$$

and

$$D_2^{(i)} = \{ a s_1 s_2 \dots s_n ; s_i \in S_{i-1} \}$$

For fixed  $i \in \{1, 2\}$  then  $D_1^{(i)}, D_2^{(i)}$  is a partition of  $X$ .

Let now define the action

$$G_i \times X \rightarrow X$$

by means of

$$G_i \times D_1^{(i)} \rightarrow D_1^{(i)}, \quad G_i \times D_2^{(i)} \rightarrow D_2^{(i)}$$

$$\bullet (g_i, a s_1 s_2 \dots s_n) \rightarrow (g_i a) s_1 s_2 \dots s_n = (a' s_0) s_1 s_2 \dots s_n$$

where we choose  $s_0 \in S_{j_0}$  such that

$$g_i a = a' s_0, \quad a' \in A$$

Thus  $a'$  is uniquely determined because  $A \hookrightarrow G_i$ .

$$\bullet (g_i, a s_1 \dots s_n) \rightarrow (g_i a s_1) s_2 \dots s_n = (a' s'_1) s_2 \dots s_n$$

where we choose  $s'_1 \in S_{j_0}$  and  $a' \in A$  such that

$$g_i a s_1 = a' s'_1$$

Observe that  $G_i$  is indeed a left action on  $X$  i.e.

$$g_1 (g_2 \cdot x) = (g_1 g_2) \cdot x \quad \forall g_1, g_2 \in G_i, x \in X$$

The restrictions of the two actions at  $A \subset G_1$  and at  $A \subset G_2$  coincide because

$$a_1 (a_2 s_1 s_2 \dots s_n) = (a_1 a_2) s_1 s_2 \dots s_n$$

The universality property implies that we have an action

$$G_1 \times_A G_2 \times X \rightarrow X, \quad (g, x) \rightarrow g \cdot x$$

Let  $E: X \rightarrow G_1 \times_A G_2$  be the evaluation map

$$E(a s_1 s_2 \dots s_n) = (a) f_{j_1}(s_1) \dots f_{j_n}(s_n) \in G_1 \times_A G_2$$

We claim that the action of  $E(w)$  on the word trivial  $\Pi \in X$  is tautological i.e.

$$(**) \quad E(x) \circ \Pi = x$$

Indeed

$$\begin{aligned} f(a) f_{j_1}(s_1) \dots f_{j_n}(s_n) \circ \Pi &= f(a) \dots f_{j_{n-1}}(s_{n-1}) \circ s_n = \\ &= f(a) \dots f_{j_{n-2}}(s_{n-2}) \circ s_{n-1} s_n = \dots = a s_1 \dots s_n \end{aligned}$$

Therefore if  $E(x) = E(x')$  then  $x = x' \in \mathcal{X}$  and so uniqueness ok  $\square$

### (IE) Britton's Lemma

This is an analogue of the Van der Waerden structure theorem for HNN extensions.

**Theorem 4:** Let  $H, K \subset G$ ,  $\theta: H \rightarrow K$  isomorphism,  $G = \langle S | R \rangle$   
 $G_\theta^* = \langle S, t \mid R, t h t^{-1} = \theta(h), \forall h \in H \rangle$

the HNN extension. Let  $w$  be a word of the form

$$w = g_0 t^{\epsilon_1} g_1 t^{\epsilon_2} \dots g_{n-1} t^{\epsilon_n} g_n$$

$g_i \in G, \epsilon_i \in \{-1, 1\}$ .

Assume that

- either  $n=0$  and  $g_0 \neq 1$  in  $G$ .
- or  $n > 0$  and there is no subwords of the form

$$t g_j t^{-1}, g_j \in H$$

$$t^{-1} g_k t, g_k \in K.$$

Then  $w \neq 1$  in  $G_\theta^*$ .

Most basic properties result from this, as follows:

### Consequences

- i)  $G \hookrightarrow G_\theta^*$  is injective
- ii) if  $H \neq G$ ,  $K \neq G$  then  $G_\theta^* \supset$  subgroup  $\mathbb{F}(2)$ .
- iii)  $A \hookrightarrow G_1 \underset{A}{*} G_2, G_i \hookrightarrow G_1 \underset{A}{*} G_2$  (if  $A \subset G_i$  injective) are injective. This is not the case when the maps  $A \hookrightarrow G_i$  are not supposed injective.